In the United States Patent and Trademark Office

Serial Number: 10/032,535
Appn. Filed: 01/02/2002
Applicant(s): John Shaw et al.
Appn. Title: Method and System for Facilitating Secure Transactions
Examiner/GAU: Harbeck, Timothy / 3628

Declaration of D. Jarrett Collins

I, D. JARRETT COLLINS, being over the age of eighteen and competent to testify, make the following declaration:

1. I am the Manager of Stonefish LLC ("Stonefish"), the applicant and assignee in patent application # 10/032,535 ("the '535 application").

2. The only inventor of the '535 application with whom I have had any contact whatsoever is Richard A. Holway, and that contact has been very minimal.

3. Nonetheless, I made several attempts to contact Mr. Holway concerning the Information Request, and finally reached him. I ascertained that he had no prior knowledge of the SEC document or the LIMITrader system. I also ascertained that Mr. Holway had no knowledge of relevant prior art besides that previously disclosed in the application specification and the Information Disclosure Statements. Mr. Holway's own declaration, which is also attached to this response, substantiates this.

4. I have no information or knowledge to suggest that any of the other inventors knew of the SEC document or the LIMITrader system before making the invention, or that any of the other inventors had knowledge of relevant prior art besides that previously disclosed in the application specification and the Information Disclosure Statements.

5.   I do not have current contact information for the other inventors, and cannot readily obtain such information.

The undersigned being hereby warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements and the like may jeopardize the validity of this document, declares that all statements made of his/her own knowledge are true and that all statements made on information and belief are believed to be true.

_____   _____
D. Jarrett Collins   Date